

CRIMINAL DOCKET

HOUSTON DIVISION

SEALED

No. **4:22cr612**

USAO Number: 2022R03540

United States Courts
Southern District of Texas

Magistrate Number:

FILED

CRIMINAL INDICTMENT

Filed *December 07, 2022*

Judge: **Hanen**

Nathan Ochsner, Clerk of Court

UNITED STATES of AMERICA

ATTORNEYS:

VS.

Jennifer B. Lowery, USA (713) 567-9000

AUSA Thomas H. Carter III (713) 567-9000

EDWARD CONSTANTINESCU (Cts. 1-12)

Appt'd
☐ Private
☐

PERRY "PJ" MATLOCK (Cts. 1-11)

☐ ☐

JOHN RYBARCZYK (Cts. 1-11)

☐ ☐

GARY DEEL (Cts. 1-11)

☐ ☐

STEFAN HRVATIN (Cts. 1-11)

☐ ☐

Ct. 1: Conspiracy To Commit Securities Fraud [18 U.S.C. § 1349]

CHARGE: Ct. 2-11: Securities Fraud [18 U.S.C. §§ 1348 & 2]

(TOTAL)
(COUNTS:) Ct. 12: Engaging in Monetary Transactions in Property Derived From Specified Unlawful Activity [18 U.S.C. § 1957]
(12)

PENALTY: Ct. 1: Up to 25 years in prison, \$250,000 fine, 5 years SR, and \$100 special assessment.
Cts. 2-11: Up to 25 years in prison, \$250,000 fine, 5 years SR, and \$100 special assessment per count.
Ct. 12: Up to 10 years, \$250,000 fine, 3 years SR and \$100 special assessment.

NOTICE OF FORFEITURE:

[18 U.S.C. §§981(a)(1)(C) and 28 U.S.C. §246(c)]
[18 U.S.C. §982(a)(1)]

Sealed
Public and unofficial staff access
to this instrument are
prohibited by court order

☐ In Jail

☐ On Bond

☒ No Arrest (All Defendants)

NAME & ADDRESS
of Surety:

PROCEEDINGS:

CRIMINAL DOCKET

HOUSTON DIVISION

USAO Number: 2022R03540

Magistrate Number:

CRIMINAL INDICTMENT

UNITED STATES of AMERICA

VS.

TOM COOPERMAN (Cts. 1-11)

MITCHELL HENNESSY (Cts. 1-11)

DANIEL KNIGHT (Ct. 1)

SEALED

United States Courts
Southern District of Texas
FILED

No. _____

Filed *December 07, 2022*
Nathan Ochsner, Clerk of Court

Judge: _____

ATTORNEYS:

Jennifer B. Lowery, USA

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AUSA Thomas H. Carter III

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Appt'd Private

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